Monitoring summary report for Qingyuan County Hongyun Penindustry CO.Ltd

MONITORING ID: 25-0330275-2

Monitored Party
Qingyuan County Hongyun Penindustry CO.Ltd

amfori ID
156-021302-000

Address
Zhukou Industrial ¸ Qingyuan County , Lishui, Zhejiang Sheng, China

Monitoring Activity
amfori Social Audit - Manufacturing

Monitoring Type
Follow-up Monitoring

Monitoring Partner
Bureau Veritas Hong Kong Limited

Monitoring Start Date
28/05/2026

Closing Meeting Finished Date
28/05/2026

Submission Date
04/06/2026

Expiration Date
20/06/2027

Announcement Type
Semi Announced

Site
Qingyuan County Hongyun Penindustry CO.Ltd

Site amfori ID
156-021302-001

This is an extract of the online Monitoring Result, generated on 05/06/2026, and is only valid as an acknowledgement of the result. To see all the details, review the full monitoring result, which is available on the amfori Sustainability Platform - The English version is the legally binding one.

OVERALL RATING

A B **C** D E None

SECTION RATING

PA1: Social Management System	C
PA 2: Workers Involvement and Protection	A
PA 3: The Rights of Freedom of Association and Collective Bargaining	A
PA 4: No Discrimination, Violence or Harassment	A
PA 5: Fair Remuneration	B
PA 6: Decent Working Hours	D

PA 7: Occupational Health and Safety	**A**	
PA 8: No Child Labour	**A**	
PA 9: Special Protection for Young Workers	**A**	
PA 10: No Precarious Employment	**A**	
PA 11: No Bonded, Forced Labour or Human Trafficking	**A**	
PA 12: Protection of the Environment	**A**	
PA 13: Ethical Business Behaviour	**A**	

GENERAL DESCRIPTION

Name of lead auditor: Andy Lu; APSCA membership number: CSCA21701159
Name of team auditor (if applicable): N/A
Name of observers, translators, trainees, advisors/consultants (if applicable): N/A
Monitoring partner name: Bureau Veritas Consumer Products Service
Audit Company APSCA Number: 11600002
Audit schedule details: The audit is planned for 1 auditor x 1 day.
Announcement Type: According to the factory tour, document reviewer, management and employees interview, this follow up audit covered PA1, PA2, PA5, PA6, PA7.
Business partner information: Qingyuan County Hongyun Penindustry CO.Ltd (庆元县鸿韵笔业有限责任公司) was located at Zhukou Industrial, Qingyuan County, Lishui, China (浙江丽水庆元县竹口工业区). Business license number: 913311267613355427. The factory was established on March 25, 2004 and specialized in the manufacture of wooden pencils, wooden color pencils, plastic pencils, plastic color pencils. The main production processes included pencil rod making (cutting, gluing), painting, sharpening, thermal transfer printing, plastic pencil making, assembly and packing. Peak season in the factory was not obvious.
Audited location information: The factory owned one 6-storey production building and one 6-storey partially 3-storey office and production building. No canteen, transportation or dormitory was provided to employees.
one 6-storey production building:
1F: warehouse
2F: painting
3F: assembly and packing
4-6F: warehouse
One 6-storey partially 3-storey office and production building:
1F: pencil rod making (cutting, gluing)
2F: sharpening, thermal transfer printing
3F: plastic pencil making
4F: office
5-6F: warehouse
And the factory rent one 2-storey production building to Qingyuan Hongxu Stationery Co., Ltd.
Per on site observation, document review, employee interview and management interview, the audited factory and other factories were independent companies, they have independent business licenses and operated independently, and no employees or areas were mixed. So that this audit only covered the areas used by the auditee.
Operating shifts and hours: All production activities at the factory comprise one shift from 8:00 to 17:00 with break time from 12:00 to 13:00. The normal working days were from Monday to Friday, and the regular rest days were Saturday and Sunday.
Time recording system: Production workers' working hours were recorded by biometric attendance record machine with detailed time in and time out information.
Salary payment details: Production workers were paid at hourly rate by cash within the 15th day of the next month.
According to the payroll and attendance records of 6 sample employees from April 2026, 6 sample employees from February 2026, 6 sample employees from October 2025, it was noted that the regular wages of sample employees were at least RMB 24.72-27.59 per hour, which was not less than the local minimum wage RMB2010 per month or RMB11.55 per hour since January 1, 2024 and RMB2180 per month or RMB12.53 per hour since January 1, 2026. All sample employees were paid 150% and 200% of regular wages for their overtime work on weekdays and rest days respectively, which was not less than the statutory requirement. No overtime working hours were noted on statutory holidays.
And the sample employees' maximum over time hours were 2 hours a weekday, 8 hours a rest day, 0 hour a holiday, 18 hours a week and 58 hours a month in the testing months. The maximal weekly working hours were 58 hours. The longest consecutively working days was 6 days in the testing periods.

Worker number information: There were total 39 employees in the factory, including 6 non-production employees and 33 production employees. There were 10 male employees and 23 female employees in the production employees. There were 2 domestic migrant employees in the factory, including 2 male employees and 0 female employee. No young worker, women pregnant, seasonal, temporary, disabled, home-based workers was noted in the factory. No interns, apprentices, contractor workers was noted in the factory.

Good practices: Each shift had 15 minutes rest during working both in the morning and afternoon.
Worker organization details: The workers freely elected 1 worker representative on March 2026. The factory encouraged to enforce the communication between worker representatives and workers.

Circumstances: There was no special circumstance during the audit.
Summary of findings: Non-compliances were noted in PA1.1, PA1.4; PA2.4; PA5.5; PA6.2; PA7.1, PA7.6, PA7.7, PA7.13 in this audit. Please refer to the details of each non-compliance in relevant PA in the report.
Living wage calculation: BV has used the methodology which follows Anker Benchmark methodology to estimate the living wage by estimating the cost of basic but decent life style for a worker and his/her family. This involves adding up the cost of three expenditure groups: food (for a low-cost nutritious diet), housing (for basic healthy housing), and other essential expenses for a family, and then adding a small margin for sustainability and emergencies. The reason BV does not use the data of GLWC because no such data was available in the area. The data source: onsite audit information collection (BV BNW).
The factory uses Anker Benchmark methodology to calculate the Living Wage and meets the requirement. After evaluating and communicating with factory, auditor would like to enter the most accurate calculation with BV BNW which better reflected the cost of living.

The attendance records were cross-checked against production records and confidential interviews were conducted with 6 employees from different departments. No inconsistency regarding working hours was found.

At the end of the audit, a closing meeting was held with the factory representatives. All of the findings were disclosed and discussed and a corrective action plan was explained to the factory representatives. Manager agreed with the findings and signed the corrective action plan.

Executive summary of audit report
1. There was no contractor used by the auditee, which made the Contractor license not applicable;
2. There were no agencies used by the auditee, which made the Agency labour contract not applicable;
3. There was no government waiver obtained by the auditee, which made the Government waivers not applicable;
4. There were no Collective bargaining agreements used by the auditee, which made the Collective bargaining agreements not applicable.
5. The auditee did not complete amfori BSCI Social Risk Assessment (SRA) prior to this amfori BSCI audit. Auditor communicated with the auditee on the audited day that SRA is required to be filled. The auditee stated that they were not aware of it before and would complete it in the future.
6. No NCs were raised in PA12 in previous report, therefore, no environment documents were upload during this audit.
7. This audit was a follow up audit where another audit body had carried out the initial audit. Bureau Veritas does not accept any liability for any issues missed in the initial audit. This audit is focusing on issues that audit had raised.

SITE DETAILS

Site	Site amfori ID
Qingyuan County Hongyun Penindustry CO.Ltd	**156-021302-001**

GICS Classification

Sector	Industry Group	Industry
Consumer Discretionary	**Consumer Durables & Apparel**	**Household Durables**

Sub Industry
Housewares & Specialties

amfori Process Classifications

- Buffing / Sand paper polishing / Mechanical polishing
- Packaging / Packing and shipping
- Serration / Grooving
- Painting
- Cutting
- Glueing/Bonding
- Stamping (stationary)
- Drying (general drying for stationary)

GS1 Classifications

N.A.

NACE Classification

N.A.

Water Stress Situation

This site is not located in a water stressed region

METRICS

Key Metrics

Total workforce	39	**Workers**
Legal minimum wage in local currency	2,180	**Monthly**
Lowest wage paid for regular work at the site	4,300	**Monthly**
Calculated living wage in local currency	2,931.53	**Monthly**
Total sample	6	**Workers**

Other Metrics

Male workers	14	**Workers**
Female workers	25	**Workers**
Non-binary workers	0	**Workers**
Permanent workers - Male	14	**Workers**
Permanent workers - Female	25	**Workers**
Permanent workers - Non-binary	0	**Workers**
Temporary workers - Male	0	**Workers**
Temporary workers - Female	0	**Workers**
Temporary workers - Non-binary	0	**Workers**
Seasonal workers - Male	0	**Workers**
Seasonal workers - Female	0	**Workers**
Seasonal workers - Non-binary	0	**Workers**
Management - Male	3	**Workers**
Management - Female	1	**Workers**
Management - Non-binary	0	**Workers**
Apprentices - Male	0	**Workers**
Apprentices - Female	0	**Workers**
Apprentices - Non-binary	0	**Workers**
Workers on probation - Male	0	**Workers**
Workers on probation - Female	0	**Workers**
Workers on probation - Non-binary	0	**Workers**
Workers with night shift - Male	0	**Workers**
Workers with night shift - Female	0	**Workers**
Workers with night shift - Non-binary	0	**Workers**
Workers with disabilities - Male	0	**Workers**
Workers with disabilities - Female	0	**Workers**
Workers with disabilities - Non-binary	0	**Workers**
Domestic migrant workers - Male	2	**Workers**
Domestic migrant workers - Female	0	**Workers**
Domestic migrant workers - Non-binary	0	**Workers**
Foreign migrant workers - Male	0	**Workers**

Foreign migrant workers - Female	**0**	**Workers**
Foreign migrant workers - Non-binary	**0**	**Workers**
Workers hired directly - Male	**14**	**Workers**
Workers hired directly - Female	**25**	**Workers**
Workers hired directly - Non-binary	**0**	**Workers**
Workers hired indirectly - Male	**0**	**Workers**
Workers hired indirectly - Female	**0**	**Workers**
Workers hired indirectly - Non-binary	**0**	**Workers**
Unionised workers - Male	**0**	**Workers**
Unionised workers - Female	**0**	**Workers**
Unionised workers - Non-binary	**0**	**Workers**
Workers under CBA - Male	**0**	**Workers**
Workers under CBA - Female	**0**	**Workers**
Workers under CBA - Non-binary	**0**	**Workers**
Pregnant workers	**0**	**Workers**
Workers on parental leave - Male	**0**	**Workers**
Workers on parental leave - Female	**0**	**Workers**
Workers on parental leave - Non-binary	**0**	**Workers**
Sample - Male	**2**	**Workers**
Sample - Female	**4**	**Workers**
Sample - Non-binary	**0**	**Workers**

FINDINGS

PA1: Social Management System

Site: Qingyuan County Hongyun Penindustry CO.Ltd | Site amfori ID: 156-021302-001

Question: 1.1 Is there satisfactory evidence that the auditee has set up an effective management system to implement the amfori BSCI Code of Conduct?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: Based on management/workers interview, document review and site tour, the factory had established social compliance management system, and conformed to BSCI requirements and relevant local law requirements in most performance areas, but some performance areas still need improvement, such as PA2, PA5, PA6, PA7. This question is rated as partially. Corrective action NOT taken: According to the management and employees interview and documents review as well as factory tour, it was noted that the factory had set up some management procedures to implement the BSCI Code of Conduct, but not all procedures were properly implemented. For example, some health safety issues were noted on site, but none of these issues were raised during internal audit. The factory had not implemented corrective and preventive actions. Thus, the auditor rated it as Partially Non Compliance. In accordance with the requirement of Performance Area 1.1, the auditee shall set up an effective management system to implement the BSCI Code of Conduct.	Previous Finding: 基于管理层/员工访谈，文件审核和现场走访，工厂建立了社会责任管理体系，且在大部分执行领域符 合了BSCI要求和相关的法规要求，但是一些执行领域仍需提高，例如PA2，PA5，PA6，P A7. 这个问题被评为部分不符合。 Corrective action NOT taken:根据管理层，员工访谈，文件查看和现场查看，工厂建立了确保BSCI有效实施的管理 制度，但是不是所有制度都得以有效实施。比如，工 厂现场有一些健康安全问题，但内审中没有识别，也没有实施纠正和预防措施。因此审核员判定为部分 不符合。 根据执行领域1.1，被审核方（生产商）应确立执行BSCI行为守则的有效管理体系。

Question: 1.4 Is there satisfactory evidence that the auditee’s workforce capacity is properly organised to meet the expectations of the delivery order and/or contracts?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: Based on management/workers interview and document review, the factory had established control procedures of production planning, but the factory did not conduct evaluation on production cost, and the sampled workers' monthly overtime hours exceeded the legal requirement in sampled months. This question is rated as partially. This violated the BSCI Code of	Previous Finding: 基于管理层/员工访谈和文件审核，工厂建立了产能规划控制程序，但是工厂没有进行生产成本评估， 且抽样员工在抽样月的月加班时间超过法规要求。这个问题被评为部分不符合。这违反了BSCI行为 准则。 Corrective action NOT taken: 根据管理层，员工访谈，文件查看和现场查看，工厂已建立生产能力评估程序，但还未进行生产能力评 估。审核员判定为

Finding	
Conduct. Corrective action NOT taken: According to the management and employees interview and documents review as well as factory tour, it was noted that the factory had established the production capacity assessment procedure, but they had not assessed production capacity. The auditor rated it as Partially Non Compliance. In accordance with the requirement of Performance Area 1.4, the auditee shall organize its workforce capacity to meet the expectations of the delivery order and/or contracts.	部分不符合。 根据执行领域1.4，被审核方（生产商）应组织其劳工来达成交付订单和/或合同预期和要求。

PA 2: Workers Involvement and Protection

Site: Qingyuan County Hongyun Penindustry CO.Ltd | Site amfori ID: 156-021302-001

Question: 2.4 Is there satisfactory evidence that the auditee builds sufficient competence among managers, workers and workers representatives to successfully embed responsible practices in the business operation?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: Based on management/workers/ worker representative interview, document review and site tour, the factory had provided the training about BSCI COC for the employees, and also posted BSCI COC in workshop, but the interviewed worker representative was not clear about the requirement of BSCI. This question is rated as partially. This violated the BSCI Code of Conduct. Corrective action NOT taken: Based on employee interviews, document reviewer and onsite observations, it was identified that 3 out of 6 sampled employees from different departments were not aware of the BSCI Code of Conduct requirements. Auditors rated this finding as a Partial Non-compliance because the auditee had developed that training procedures that social management system should be conducted to all employees every year. In accordance with the requirement of Performance Area 2.4, the auditee shall build sufficient competence to successfully embed responsible practices in the business operation. This refers to managers, workers and workers representatives.	Previous Finding: 基于管理层/员工/员工代表访谈，文件审核和现场走访，工厂给员工提供了BSCI行为守则的培训 且在车间张贴了BSCI行为守则，但是访谈的员工代表不太了解BSCI的要求。这个问题被评为部 分不符合。这违反了BSCI行为准则。 Corrective action NOT taken: 根据员工访谈、文件审核和现场走访，发现6名在不同部门的抽样员工中的3名员工不清楚BSCI行 为准则的要求。审核员判断此问题点为部分不符合是因为工厂建立了培训程序要求每年对全部员工进行 关于社会责任管理体系的培训。 根据执行领域2.4：被审核方（生产商）充分培养下列人士的能力，以便在业务经营中成功结合责任 规范，包括经理、工人和工人代表。

PA 5: Fair Remuneration

Site: Qingyuan County Hongyun Penindustry CO.Ltd | Site amfori ID: 156-021302-001

Question: 5.5 Is there satisfactory evidence that the auditee provides workers with the social benefits that are legally granted without negative impact on their pay, level of seniority, position, or promotion prospects?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: As per record review, management interview and worker interview, the factory does not meet the requirement because this is systematic issue: social insurance did not cover 100% employees. According to the receipt of February 2025 to April 2025, there were 39 employees in the facility, including 7 retired employees, no newly hired/ dispatched/ temporary employees. It was noted that 8 employees had participated in pension, unemployment, medical and maternity insurance (25%); 15 employees had participated in injury insurance (46.9%); group commercial insurance was provided to 24 employees (61.5%), valid from March 20, 2025 to March 19, 2026. As per interviews, some workers did not want to buy social insurance. It is against China Labor Law, Article 72 and 73. Corrective action NOT taken: According to the management and employees interview and documents review, there were 39 employees in the factory, including 23 retired employees and 0 new hired employee. Totally 16 employees shall be provided with social insurance. According to the social insurance payment receipt provided by factory management, it was noted that 5 out of 16 employees (31.25%) were provided with unemployment, pension, medical, maternity insurance, and 20 out of 39 employees (51.28%) were provided with accident insurance in April 2026. And the factory provided the commercial accident insurance to 30 employees from November 11, 2025 to November 10, 2026. This violated Article 73 of the Labor Law of the People’s Republic of China. According to factory management, they did not provide social insurance to all employees because some employees were unwilling to participate in the social insurance program. All employees interviewed also confirmed that they were voluntary to participate in the social insurance program. In addition, the proportion of employees participating in the insurance is less than 80%. Thus, the auditor	Previous Finding: 根据文件查阅，管理人员访谈和员工访谈, 由于是系统性问题，工厂没有符合该条款： 社保没有全员覆盖。根据2025年2月到4月的凭证显示，工厂有39人，含7名退休员工，无新入 职工人/派遣工/临时工。发现8名员工缴纳了养老，失业，医疗和生育保险，参保率约为25%；1 5名员工缴纳了工伤保险，参保率约为46.9%； 给24名员工(61.5%)购买了团体商业保险,有效期为2025年3月20日到2026年3月 19日。根据访谈得知，部分员工不愿意缴纳社会保险。 违反了中华人民共和国劳动法第七十二条和第七十三条。 Corrective action NOT taken: 根据管理层，员工访谈，文件查看，审核工厂共有39人，其中包含23人退休和0名新入职员工，共 需要为16名员工缴社保。根据厂方提供的2026年4月的社会保险缴费单据显示工厂为5/16(31.25%)名员工提供了失业、养老、医疗、生育保险，为20/39(51.28%)名员工提 供了工伤保险。工厂为30名员工提供2025年11月11日到2026年11月10日的商业意外 险。 根据《中华人民共和国劳动法》第73条 根据工厂管理层访谈，社保覆盖不足是由于部分员工不愿意缴纳社保。根据员工访谈，员工自愿交纳社 保。且工厂员工参保比例小于80%，因此判定为不符合。

Finding	
rated it as Non Compliance.	

PA 6: Decent Working Hours

Site: Qingyuan County Hongyun Penindustry CO.Ltd | Site amfori ID: 156-021302-001

Question: 6.2 CRUCIAL: Is there satisfactory evidence that the auditee request of overtime is in line with the requirements of the amfori BSCI Code of Conduct?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: The time records of December 2024, March and April 2025 were sampled to review. The factory normally arranged the 2 hours overtime work on some regular working days and 8 hours overtime work on Saturday. Per document review, management interview and worker interview, all the overtime work is arranged on voluntary basis. However, it was noted that the monthly overtime hours of all sampled workers respectively from painting workshop, sharpening workshop, plastic pencil workshop, inspection and packing workshop exceeded 36 hours in December 2024 and March 2025, and were up to 48 hours. This violated Article 41 of Labor Law of the People's Republic of China. This question is rated as no because the factory didn't monitor the overtime hours effectively during the normal operation, which lead the workers monthly overtime hours exceeded legal requirements systematically. Corrective action NOT taken: According to the management and employees interview and documents review, and according to the attendance records of 6 sample employees from April 2026, 6 sample employees from February 2026, 6 sample employees from October 2025, it was noted that 6 out of 6 sample employees worked 54 overtime hours per month in April 2026, 6 out of 6 sample employees worked 38 overtime hours per month in February 2026, 6 out of 6 sample employees worked 58 overtime hours per month in October 2025, which were not in compliance with the legal requirement of 36 overtime hours per month. The auditor judged this finding as non-compliance because though the auditee had developed overtime control procedures, they were not implemented. Based on management interviews, they arranged the overtime work according to the	Previous Finding: 抽取2024年12月，2025年3月和4月考勤记录作为样本查看。工厂通常安排正常工作日加班 2小时以及周六加班8小时。根据文件审核，管理层访谈和员工访谈，所有加班安排都是基于自愿的。 但是发现分别来自油漆车间，胶芯车间，塑料铅笔车间，检验和包装车间的所有抽样工人的月加班在2024年12月和2025年3月超过了 36小时，最大达到48小时。这违反了《中华人民共和国劳动法》第四十一条的规定。这个问题被评 为完全不符合是因为工厂未能在日常运作中有效的监控其加班时间，导致员工月加班系统性地超过法定 要求。Corrective action NOT taken: 根据管理层，员工访谈，文件查看，抽样2026年4月6名员工，2026年2月6名员工，202 5年10月6名员工的考勤记录，显示6/6名员工在2026年4月加班54小时，6/6名员工在2026年2月加班38小时，6/6名员工在2025年10月加班58小时，超过每 月加班时间不 能超过36小时的法律规定。审核员判断此问题为不符合是因为被审核工厂虽建立用加班时间控制程序 ，但没有执行。根据管理层访谈，员工加班是根据获得订单来安排。根据《中华人民共和国劳动法》第41条。

Finding	
orders. In accordance with Article 41 of the Labor Law of the PRC.	

PA 7: Occupational Health and Safety

Site: Qingyuan County Hongyun Penindustry CO.Ltd | Site amfori ID: 156-021302-001

Question: 7.1 Is there satisfactory evidence that the auditee observes occupational health and safety regulations applicable for its activities?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: Based on management/workers interview, document review and site tour, the factory had established the health and safety management system and the factory complied with most of the sections of occupational health and safety regulations, but the factory was not fully in line with the occupational health and safety regulations applicable for its activities, due to some findings were found during the audit. This question is rated as partially. Corrective action NOT taken: According to the management and employees interview and documents review as well as factory tour, it was noted that the policy and procedure regarding occupational health and safety was established, but it was not fully implemented and some non-compliances were found in PA7. The auditor judged this finding as Non-compliance because the factory knew the requirement, but they were not implemented. In accordance with the requirement of Performance Area 7.1, the auditee observes applicable occupational health and safety (OHS) regulations.	Previous Finding: 基于管理层/员工访谈，文件审核和现场走访，工厂建立了健康安全管理体系，并在大部分方面符合安 全法规，但是由于在审核期间发现了一些问题，工厂没有完全遵守适用于其活动的职业健康安全规定。 这个问题被评为部分不符合。 Corrective action NOT taken: 根据管理层，员工访谈，文件查看和现场查看，工厂有建立职业健康安全方面的方针和程序，但没有充 分实施，导致在PA7还发 现一些不符合项。 审核员判断此问题为不符合是因为被审核工厂知道要求，但没有执行。 根据执行领域7.1，被审核方（生产商）遵守适用的职业健康与安全法规。

Question: 7.6 Is there satisfactory evidence that the auditee enforces the use of PPE to provide protection to workers alongside other controls and safety systems?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: As per onsite observation, management interview and worker interview, the factory partially meets the requirement: During	Previous Finding: 根据现场观察，管理人员访谈和员工访谈，工厂部分符合该条款：在现场巡查时，发现工厂给工人提供 了口罩和耳塞，但是2名刨槽工

Finding	
factory tour, it was noted that mask, ear plugs were provided to workers, but two dadoing workers were not wearing the ear plugs. As per management, the principal reason for this finding was management negligence. This violated PRC Work Safety Law (2002), Amendment (2014), Article 42. Corrective action NOT taken: According to the management and employees interview and documents review as well as factory tour, it was noted that 1 employee worked in the painting workshop was not wearing the gloves which were provided by the factory; 1 employee worked in the plastic pencil making workshop was not wearing the earplugs which were provided by the factory. Employees were provided with relevant training and free charged PPE was provided to employees in the workshop according to the employee interview and document review. The auditor rated it as Partially Non Compliance. This violated Article 45 of Law of the People's Republic of China on Production Safety.	人没有在工作时间佩戴耳塞。根据管理层访谈得知，发生此问题的主要 原因是管理疏忽。违反了中华人民共和国安全生产法第四十二条。 Corrective action NOT taken: 根据管理层，员工访谈，文件查看和现场查看，工厂上漆车间1名员工没有佩戴工厂提供的手套，塑料 制笔车间1名员工没有佩戴工厂提供的耳塞。根据员工访谈和资料查看，工厂提供了相关的培训和免费 的防护用品给员工。因此审核员判定为部分不符合 。 根据《中华人民共和国安全生产法》第45条。

Question: 7.7 Is there satisfactory evidence that the auditee implements engineering and administrative control measures to avoid or minimise the release of hazardous substances into the work environment, keeping the level of exposure below internationally established or recognised limits?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: As per onsite observation, management interview and worker interview, the factory partially meets the requirement: MSDS of chemicals were posted onsite, but secondary containers were not provided to chemicals. As per management and worker interviews, the principal reason for this finding was management negligence. It is against on Dangerous Chemical Safety Management (2002), Amendment (2011), Article 20. Corrective action NOT taken: According to the management and employees interview and documents review as well as factory tour, it was noted that there was no anti-leakage facility (e.g. secondary container) in the production workshop for part of the chemicals (paint, thinner etc.). The factory had posted MSDS in the workshop. Also, provided the chemical safety training and risk assessment for the employees. And the factory provided the occupational health checks to	Previous Finding: 根据现场观察，管理人员访谈和员工访谈，工厂部分符合该条款：现场张贴了物质安全资料表，但是化 学品没有配备二次容器。根据管理层和员工代表访谈得知，发生此问题的主要原因是管理疏忽。 违反了危险化学品安全管理条例第二十条和工作场所安全使用化学品规定第14条。 Corrective action NOT taken: 根据管理层，员工访谈，文件查看和现场查看，审核员发现工厂没有为存放在生产车间的部分化学品(油漆，稀释剂等)设置防渗漏设施/二次容器。 审核工厂在车间张贴了MSDS, 为员工提供化学品安全培训和风险评估，且工厂有为接触化学品的员工提供职业病体检。因此审核员判 定为部分不符合。 根据《危险化学品安全管理条例》第20条。

Finding	
employees who were in contact with chemicals. The auditor rated it as Partially Non Compliance. In accordance with article 20 of Regulation for Safety of Hazardous Chemical.	

Question: 7.13 Is there satisfactory evidence that the auditee makes sure a competent person periodically checks the electrical installations and equipment?

ENGLISH	LOCAL LANGUAGE
Finding	
Previous Finding: As per records review, onsite observation, management interview and worker interview, the factory partially meets the requirement: the factory has conducted periodical check on the electrical installations, but two viewed electric control panels in the workshop were not locked and not installed with inner cover. As per management interviews, the principal reason for this finding was management negligence. It is against General Guide for Safety of Electric User (GB/T13869-2017), Article 5.1.2. Corrective action NOT taken: According to the management and employees interview and documents review as well as factory tour, it was noted that 1 out of 6 sampled electricity box in the workshop was kept open, and without inner cover. The factory conducts monthly inspection for the electric wires and relevant inspection records were provided for review. The auditor rated it as Partially Non Compliance. This Violated Article 5.1.2 of the General Guide for Safety of Electric User (GB/T13869-2017)	Previous Finding: 根据现场观察，管理人员访谈和员工访谈, 工厂部分符合该条款：工厂有对电气设备进行定期检查,但是车间内看到2处控电箱开关没有上锁也没 有安装内盖。根据管理层访谈得知，发生此问题的主要原因是管理疏忽。违反了《用电安全导则GB/ T13869-2017》第5.1.2条。 Corrective action NOT taken: 根据管理层，员工访谈，文件查看和现场查看，工厂生产车间1/6个抽样电箱开启，且没有内盖。 工厂每月对电线做检查，工厂提供了相关的检查记录，因此审核员判定为部分不符合。 根据《用电安全导则GB/T13869-2017》第5.1.2条